

03/02/00  
JC760 U.S. PTO

03-03-00

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Please type a plus sign (+) inside this box →

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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03/02/00  
JC525 U.S. PTO



## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	AUTH07US
First Inventor or Application Identifier	Simon Robert Walmsley
Title	Validation Protocol and System
Express Mail Label No.	EJ776402445US

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 120]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
4. Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of (when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  Small Entity Statement(s)  Statement filed in prior application, (PTO/SB/09-12)  Status still proper and desired
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/113223

Prior application information: Examiner Nga B. Nguyen Group / Art Unit: 2764

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)					or <input type="checkbox"/> Correspondence address below
Name	Kia Silverbrook					
	Silverbrook Research Pty. Ltd.					
Address	393 Darling Street					
City	Balmain	State	NSW	Zip Code	2041	
Country	Australia	Telephone	61-2-9818-6633	Fax	61-2-9818-6711	

Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	
Signature		Date	March 1, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

+

This is "Divisional" of US Patent Application Serial No. 09/113,223 filed July 10, 1998.

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** (\$385)

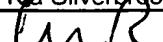
## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Simon Robert Walmsley
Examiner Name	Nga B. Nguyen
Group / Art Unit	2764
Attorney Docket No.	AUTH07US

<b>METHOD OF PAYMENT</b> (check one)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	
Deposit Account Name	
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money <input type="checkbox"/> Order <input type="checkbox"/> Other	

<b>FEE CALCULATION</b>			
1. <b>BASIC FILING FEE</b>			
Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	345
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>		345	
2. <b>EXTRA CLAIM FEES</b>			
Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20**	= 9	0
Independent Claims	1	-3**	= 0
Multiple Dependent			0
** or number previously paid, if greater; For Reissues, see below			
Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b>		0	

3. ADDITIONAL FEES	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath		
127 50	227 25	Surcharge - late provisional filing fee or cover sheet		
139 130	139 130	Non-English specification		
147 2,520	147 2,520	For filing a request for reexamination		
112 920*	112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		
115 110	215 55	Extension for reply within first month		
116 380	216 190	Extension for reply within second month		
117 870	217 435	Extension for reply within third month		
118 1,360	218 680	Extension for reply within fourth month		
128 1,850	228 925	Extension for reply within fifth month		
119 300	219 150	Notice of Appeal		
120 300	220 150	Filing a brief in support of an appeal		
121 260	221 130	Request for oral hearing		
138 1,510	138 1,510	Petition to institute a public use proceeding		
140 110	240 55	Petition to revive - unavoidable		
141 1,210	241 605	Petition to revive - unintentional		
142 1,210	242 605	Utility issue fee (or reissue)		
143 430	243 215	Design issue fee		
144 580	244 290	Plant issue fee		
122 130	122 130	Petitions to the Commissioner		
123 50	123 50	Petitions related to provisional applications		
126 240	126 240	Submission of Information Disclosure Stmt		
581 40	581 40	Recording each patent assignment per property (times number of properties)		40
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		
Other fee (specify)				
Other fee (specify)				
<b>SUBTOTAL (3) (\$)</b>		40		

<b>SUBMITTED BY</b>		Complete if applicable	
Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	Telephone 612-9818-6633
Signature		Date	March 1, 2000

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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JC525 U.S. PTO  
 09/51/384  
 03/02/00

This is "Divisional" of US Patent Application Serial No. 09/113,223 filed July 10, 1998.

PTO/SB/17 (12/99)

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 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2000

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 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT (\$)** 385

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Simon Robert Walmsley
Examiner Name	Nga B. Nguyen
Group / Art Unit	2764
Attorney Docket No.	AUTH07US

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

Check     Money Order     Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	

**Westpac Banking Corporation**

ALL CHARGES TO BE BORNE BY PAYEE

Initiating Branch BALMAIN

ARBN 007 457 141

1-2 1  
210

Date 28 Feb 2000

DRAFT No. 85 622 630

3	Currency USD	Amount 385.00
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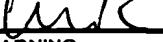
TO: THE CHASE MANHATTAN BANK, N.A.  
1 Chase Manhattan Plaza,  
New York, N.Y. 10081

For and on behalf of  
**Westpac Banking Corporation**

THIS DRAFT CONTAINS A  
PADLOCK AND KEY WATERMARK

\*\* 85622630 \*\* 10210000210001 1 910106 \*\*

110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify) _____	
SUBTOTAL (2) (\$ 0)	Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$ 40)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)		Telephone 612-9818-6633
Signature			Date	March 1, 2000

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Docket No.: AUTH07US

**Certificate of Mailing under 37 CFR 1.8**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

on March 2, 2000  
Date



Signature

JANETTE FAYE LEE

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Submitted herewith Utility Patent Application Transmittal and enclosures for an invention entitled "Validation Protocol and System", which is a "Divisional" of US Patent Application No. 09/113,223.

Express Mail Label: **EJ776402445US**

**In the United States Patent and Trademark Office**

jc525 U.S. PRO  
09/517384  
03/02/00



Serial Number:

Application Filed:

Applicant(s): Simon Robert Walmsley

Application Title: VALIDATION PROTOCOL AND SYSTEM

(This is a "Divisional" of US Patent Application Serial No. 09/113,223)

Examiner/GAU: Nga B. Nguyen, 2764

Mailed: March 1, 2000

At: Balmain NSW Australia

Docket No. AUTH07US

Assistant Commissioner for Patents

Washington, District of Columbia 20231

**Petition to Delete Inventors in a Divisional Application**

Dear Sir:

Pursuant to 37 CFR 1.63(d)(2), we respectfully request the deletion of Kia Silverbrook and Paul Lapstun who are not inventors in the above-identified divisional patent application.

Respectfully submitted,



First Inventor  
in Parent  
Application:

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KIA SILVERBROOK  
C/- Silverbrook Research Pty Ltd  
393 Darling Street  
Balmain NSW 2041, Australia  
Telephone: 61-2-9818-6633  
Facsimile: 61-2-9818-6711  
Email: kia@silverbrook.com.au

Joint Inventors  
in Parent  
Application:

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SIMON ROBERT WALMSLEY  
Unit 3, Pembroke Street  
Epping NSW 2121, Australia



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PAUL LAPSTUN  
13 Duke Avenue  
Rodd Point NSW 2046, Australia